Deep Collaborative Filtering with Multi-Aspect Information in Heterogeneous Networks

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Abstract—Recently, recommender systems play a pivotal role in alleviating the problem of information overload. Latent factor models have been widely used for recommendation. Most existing latent factor models mainly utilize the interaction information between users and items, although some recently extended models utilize some auxiliary information to learn a unified latent factor for users and items. The unified latent factor only represents the characteristics of users and the properties of items from the aspect of purchase history. However, the characteristics of users and the properties of items may stem from different aspects, e.g., the brand-aspect and category-aspect of items. Moreover, the latent factor models usually use the shallow projection, which cannot capture the characteristics of users and items well. Deep neural network has shown tremendous potential to model the non-linearity relationship between users and items. It can be used to replace shallow projection to model the complex correlation between users and items. In this paper, we propose a Neural network based Aspect-level Collaborative Filtering model (NeuACF) to exploit different aspect latent factors. Through modelling the rich object properties and relations in recommender system as a heterogeneous information network, NeuACF first extracts different aspect-level similarity matrices of users and items, respectively, through different meta-paths, and then feeds an elaborately designed deep neural network with these matrices to learn aspect-level latent factors. Finally, the aspect-level latent factors are fused for the top-N recommendation. Moreover, to fuse information from different aspects more effectively, we further propose NeuACF++ to fuse aspect-level latent factors with self-attention mechanism. Extensive experiments on three real world datasets show that NeuACF and NeuACF++ significantly outperform both existing latent factor models and recent neural network models.

21 Index Terms—Recommender systems, heterogeneous information network, aspect-level latent factor

22 **1** INTRODUCTION

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TURRENTLY the overloaded online information over-23 whelms users. In order to tackle the problem, 24 Recommender Systems (RS) are widely employed to 25 guide users in a personalized way of discovering 26 products or services they might be interested from a 27 28 large number of possible alternatives. Recommender systems are essential for e-commerce companies to 29 provide users a personalized recommendation of 30 products, and thus most e-commerce companies like 31 Amazon and Alibaba are in an urgent need to build 32 more effective recommender systems to improve user 33 experience. Due to its importance in practice, recom-34 mender systems have been attracting remarkable 35

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reprints@ieee.org, and reference the Digital Object Identifier below. Digital Object Identifier no. 10.1109/TKDE.2019.2941938 attention to both industry and academic research ³⁶ community. ³⁷

Collaborative Filtering (CF) [1] is one of the most popular 38 methods for recommendation, whose basic assumption is 39 that people who share similar purchase in the past tend to 40 have similar choices in the future. In order to exploit users' 41 similar purchase preference, latent factor models (e.g., 42 matrix factorization) [2], [3] have been proposed, which usu- 43 ally factorize the user-item interaction matrix (e.g., rating 44 matrix) into two low-rank user-specific and item-specific fac- 45 tors, and then use the low-rank factors to make predictions. 46 Since latent factor models may suffer from data sparsity, 47 many extended latent factor models integrate auxiliary infor- 48 mation into the matrix factorization framework, such as 49 social recommendation [4] and heterogeneous network 50 based recommendation [5]. Recently, with the surge of deep 51 learning, deep neural networks are also employed to deeply 52 capture the latent features of users and items for recommen- 53 dation. NeuMF [6] replaces the inner product operations in 54 matrix factorization with a multi-layer feed-forward neural 55 network to capture the non-linear relationship between users 56 and items. DMF [7] uses the rating matrix directly as the 57 input and maps user and items into a common low-dimen- 58 sional space via a deep neural network.

Although these latent factor models achieve good perfor- 60 mance, they usually only capture the information of users' 61 purchase history. Existing models usually focus on ext- 62 racting latent factors of users and items through their 63

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relation tion work

Fig. 1. A toy example of aspect-level interactions between users and items.

interaction information from ratings, which only reflects 64 user preferences and item characteristics from one aspect, 65 i.e., purchase history. However, the latent factors of users 66 67 and items usually stem from different aspects in real applications. Particularly, in social media with rich information, 68 69 user preferences and item characteristics may reflect in many aspects besides rating interactions, e.g., item features, 70 71 and other interactions between users. These aspect-level features can more comprehensively reflect user preferences 72 and item characteristics. Thus it is very valuable for the 73 latent factor models to exploit latent features of users and 74 items from different aspects. Fig. 1 shows a toy example of 75 our idea. A green check mark indicates that the user pur-76 chased the corresponding item in the past. A question mark 77 means that the interaction information is unknown. If we 78 only exploit the interaction matrix (illustrating purchase 79 history) in Fig. 1a, we may infer that user U_4 will purchase 80 item I_2 and I_3 . However, when considering the item brand 81 82 information shown in Fig. 1b, we may find item I_3 is a better recommendation to U_4 because items I_1 and I_3 belong to the 83 84 same brand B_1 .

Although it is promising to comprehensively utilize mul-85 tiple aspect-level latent features of users and items, it still 86 faces the following two challenges. (1) How to extract differ-87 ent aspect-level features: A systematic method is needed to 88 effectively organize the different types of objects and interac-89 tions in recommender systems, and extract different aspect-90 level features. The extracted aspect-level features should 91 reflect different aspects of users preferences and embody 92 rich semantics. (2) How to learn latent factors from different 93 aspects. Even if we can extract different aspect-level features, 94 95 it is still not easy to learn their latent factors. Matrix factorization may not be a good option as it only learns the shallow 96 97 factors. Deep neural network (DNN), which is able to learn the highly nonlinear representations of users and items, is a 98 promising method. However, the current DNN structure 99 lacks of feature fusing mechanism, which cannot be directly 100 applied to our problem. (3) How to fuse latent factors from 101 102 different aspects effectively. Since the different aspect-level factors only represent aspect-level characteristics of user/ 103 item, we need to fuse them effectively. Although deep neural 104 network is a promising method, we still need to design a 105106 proper neural network structure and a feature fusing mechanism for our problem settings. 107

In this paper, to address the challenges above, we propose a novel Neural network based Aspect-level Collaborative Filtering model (NeuACF). NeuACF can effectively model and fuse different aspect-level latent factors which represent the user preferences and item characteristics from different

aspects. Particularly, the objects and interactions of different 113 types in recommender systems are first organized as a Het- 114 erogeneous Information Network (HIN) [8]. Meta-paths [9], 115 relation sequences connecting objects, are then employed 116 to extract aspect-level features of users and items. As an 117 example shown in Fig. 1c, we can extract the latent factors 118 of users from the aspect of purchase history with the 119 User-Item-User path, which is usually analyzed by existing 120 latent factor models. Meanwhile, we can also extract the 121 latent factors from the aspect of brand preference with the 122 User-Item-Brand-Item-User path. Furthermore, we design a 123 delicate deep neural network to learn different aspect-level 124 latent factors for users and items and utilize an attention 125 mechanism to effectively fuse them for the top-N recommen- 126 dation. Note that, different from those hybrid recommenda- 127 tion models [10] that focus on the rating information with the 128 auxiliary information, NeuACF treats different aspect-level 129 latent factors extracted from meta-paths equally, and auto- 130 matically determines the importance of these aspects. Neu- 131 ACF is also different from those HIN based methods [11] in 132 its deep model and fusing mechanism. Concretely, a del- 133 icately designed attention network is used to fuse aspect- 134 level latent factors. Comparing to the above attention 135 method, we further propose NeuACF++ to fuse aspect infor- 136 mation with self-attention mechanism which considers dif- 137 ferent aspect-level latent factors and learns the attention 138 values simultaneously. Extensive experiments illustrate the 139 effectiveness of NeuACF and NeuACF++, as well as the 140 traits of aspect-level latent factors. 141

Our main contributions of this paper are summarized as 142 follows. 143

- To leverage the different aspect-level information of 144 HIN, we design a meta-path based method to cap- 145 ture the aspect-level latent factors of users and items 146 from the similarity matrix obtained from the HIN. 147
- We propose the NeuACF with deep neural network to 148 learn different aspect-level latent factors and integrate 149 these latent factors with attention mechanism for top- 150 N recommendation, since aspect-level information 151 reflects the characteristics of users and the properties 152 of items more precisely. Moreover, the self-attention 153 mechanism is employed to fuse aspect-level latent factors in our proposed method NeuACF++. 155
- We preform extensive experiments and provide tremendous analysis to illustrate the effectiveness of 157 NeuACF and NeuACF++.

The rest of this paper is organized as follows. Section 2 reviews the related work. Section 3 summarizes the related work. 160 Section 4 introduces the NeuACF model and NeuACF++ 161 model in details. Section 5 presents and analyzes the experimental results. And Section 6 concludes this paper. 163

2 RELATED WORK

In this section, we provide a background to our work, and 165 review the relevant works. 166

2.1 Collaborative Filtering

Traditional recommendation works mainly adopt collabora- 168 tive filtering (CF) methods to utilize historical interactions for 169

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recommendation [3], [12], [13], [14]. As the most popular 170 approach among various CF techniques, matrix factorization 171 (MF) has shown its effectiveness and efficiency in many 172 applications [2], [15]. MF factorizes the user-item interaction 173 matrix into two low-dimension user-specific and item-spe-174 cific matrices, and then utilizes the factorized matrices for 175 176 predictions [16]. In recent years, many variants of MF, such as SVD [3], weighted regularized matrix factorization [1], and 177 probabilistic matrix factorization [17] have been proposed. 178 179 SVD reconstructs the rating matrix only through the observed user-item interactions. Weighted regularized matrix factori-180 zation (WR-MF) extends MF by using regularization to pre-181 vent over-fitting and to increase the impact of positive 182 feedback. Probabilistic matrix factorization (PMF) models the 183 user preference matrix as a product of two lower-rank user 184 185 and item matrices. The user and item feature vectors are computed by a probabilistic linear model with Gaussian observa-186 187 tion distribution. Bayesian personalized ranking (BPR) [18] is a generic optimization criterion and learning algorithm for 188 189 implicit CF and has been widespreadly adopted in many related domains [19], [20], [21], [22]. 190

2.2 Neural Networks for Recommendation 191

Recently, neural network has shown its potential in non-192 linear transformations and been successfully applied in 193 many data mining tasks [23], [24]. The neural network has 194 been proven to be capable of approximating any continuous 195 function [25]. The pioneer work proposes a two-layers 196 Restricted Boltzmann Machines (RBMs) to model user-item 197 interactions [26]. In addition, autoencoders have been 198 199 applied to learn user and item vectors for recommendation systems [27], [28], [29]. To overcome the limitation of autoen-200 201 coders and increase the generalization ability, denoising autoencoders (DAE) have been applied to learn user and 202 203 item vectors from intentionally corrupted inputs [27], [29]. Cheng et al. [30] combine the benefits of memorization and 204 generalization for recommender systems by jointly training 205 wide linear models and deep neural networks. Compared to 206 Wide & Deep model, Guo et al. [31] propose the DeepFM 207 model that integrates the architectures of factorization 208 machine (FM) and deep neural networks (DNN). This archi-209 tecture models low-order feature interactions and high-210 order feature interactions simultaneously. He et al. [6] pres-211 212 ent a neural network architecture to model latent features of users and items and devise a general neural collaborative fil-213 214 tering (NCF) framework based on neural networks. In addition, NCF leverages a multi-layer perceptron to learn the 215 user-item interaction function instead of the traditional inner 216 217 product. He et al. [32] propose the neural factorization machine (NFM) model for recommendation. This model 218 219 combines the linearity of FM in modeling second-order feature interactions and the non-linearity of neural network 220 to model higher-order feature interactions. Xue et al. [7] 221 propose a deep matrix factorization model (DMF) with a 222 223 neural network that maps the users and items into a common low-dimensional space with non-linear projections. The 224 training matrix includes both explicit ratings and non-prefer-225 ence implicit feedback. The recently proposed convolutional 226 NCF [33] utilizes outer product above the embedding layer 227 results and 2D convolution layers for learning joint represen-228 tation of user-item pairs. 229

Exploiting Heterogeneous Information 2.3 for Recommendation

To overcome the sparsity of the ratings, additional data are 232 integrated into recommendation systems, such as social 233 matrix factorization with social relations [4] and topicMF 234 with item contents or reviews text [34]. Recently, graph 235 data[35] shows its strong potential for many data mining 236 tasks. There are also many works exploring the graph data 237 for recommendation [36], [37] or web search [38]. As one of 238 the most important methods to model the graph data, het- 239 erogeneous information network [8] can naturally charac- 240 terize the different relations between different types and 241 objects. Then several path based similarity measures are 242 proposed to evaluate the similarity of objects in heteroge- 243 neous information network [9], [39], [40]. After that, many 244 HIN based recommendation methods have been proposed 245 to integrate auxiliary information. Feng et al. [41] propose a 246 method to learn the weights of different types of nodes and 247 edges, which can alleviate the cold start problem by utiliz- 248 ing heterogeneous information contained in social tagging 249 system. Furthermore, meta-path is applied to recommender 250 systems to integrate different semantic information [42]. In 251 order to take advantage of the heterogeneity of relationship 252 in information networks, Yu et al. [43] propose to diffuse 253 user preferences along different meta-paths in information 254 networks. Luo et al. [44] demonstrate that multiple types of 255 relations in heterogeneous social network can mitigate the 256 data sparsity and cold start problems. Shi et al. [36] design a 257 novel SemRec method to integrate all kinds of information 258 contained in recommender system using weighted HIN and 259 meta-paths. Zhang et al. [37] propose a joint representation 260 learning (JRL) framework for top-N recommendation by 261 integrating different latent representations. 262

Most existing latent factor models mainly utilize the rat- 263 ing information between users and items, but ignore the 264 aspect information of users and items. In this paper, we 265 extract different aspect similarity matrices through different 266 meta-paths which characterize the specific aspect informa- 267 tion. Then, we delicately design a deep neural network to 268 learn the latent factors of users and items. After that, we uti- 269 lize attention mechanism to fuse those aspect-level latent 270 factors for top-N recommendation. 271

3 PRELIMINARIES

Latent Factor Model 3.1

The latent factor model has been widely studied in recom- 274 mender systems. Its basic idea is to map users and items to 275 latent factors and use these factors for recommendation. 276 The representative works are Matrix Factorization (MF) [2], PMF [17] and SVD++ [3]. Taking MF for example, the objec-278 tive function of MF in Equation (1) aims to minimize the following regularized squared loss on the observed ratings: 280

$$\underset{u,v}{\operatorname{arg\,min}} \sum_{i} \sum_{j} (R_{i,j} - u_{i}^{T} v_{j})^{2} + \lambda \left(\sum_{i} ||u_{i}||_{2}^{2} + \sum_{j} ||v_{j}||_{2}^{2} \right),$$
(1)

where u_i and v_j denote the latent factors of user U_i and item 283 I_i , $R_{i,i}$ denote the user U_i rating score to item I_i and the λ 284

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Fig. 2. Network schema of HINs for the experimental datasets.

controls the strength of regularization, which is usually a *L*-2 norm aiming to prevent overfitting.

Based on this basic MF framework, many extended latent 287 factor models have been proposed through adding some 288 289 auxiliary information, such as social recommendation [4] and heterogeneous network based recommendation [36]. 290 291 The limitation of existing latent factor models is that the latent factors are mainly extracted from one aspect, i.e., the 292 293 rating matrix. However, some other more fine-grained aspect-level user-item interaction information is largely 294 ignored, although such information is also useful. 295

296 3.2 Heterogeneous Information Network

The recently emerging HIN [8] is a good way to model com-297 plex relations among different types and objects in recom-298 mender systems. Particularly, HIN is a special kind of 299 300 information network, which either contains multiple types of objects or multiple types of links. The network schema of 301 a HIN specifies the type constraints on the sets of objects 302 and relations among the objects. Two examples used in our 303 experiments are shown in Fig. 2. In addition, meta-path [9], 304 a relation sequence connecting objects, can effectively 305 extract features of objects and embody rich semantics. In 306 307 Fig. 2b, the meta-path User-Item-User (UIU) extracts the features of users in the purchase history aspect, which 308 309 means users having the same purchase records. While the User-Item-Brand-Item-User (UIBIU) extracts the features 310 of users in the brand aspect, which means users purchase 311 the items with the same brand. In the following section, we 312 use the abbreviation to represent the meta-paths. HIN has 313 been widely used in many data mining tasks [8]. HIN based 314 recommendations also have been proposed to utilize rich 315 heterogeneous information in recommender systems, while 316 they usually focus on rating prediction with the "shallow" 317 model [5], [11]. 318

319 4 THE PROPOSED MODEL

320 4.1 Model Framework

321 The basic idea of NeuACF is to extract different aspect-level latent features for users and items, and then learn and fuse 322 these latent factors with deep neural network. The model 323 contains three major steps. First, we construct an HIN based 324 325 on the rich user-item interaction information in recommender systems, and compute the aspect-level similarity 326 matrices under different meta-paths of HIN which reflect 327 different aspect-level features of users and items. Next, a 328 deep neural network is designed to learn the aspect-level 329 latent factors separately by taking these similarity matrices 330 inputs. Finally, the aspect-level latent factors are 331 as

TABLE 1 Meta-Paths used in Experiments and the Corresponding Aspects

Datasets	Aspect	Meta-Paths				
	L	User	Movie/Item			
MovieLens	History	UMU	MUM			
	Director	UMDMU	MDM			
	Actor	UMAMU	MAM			
Amazon	History	UIU	IUI			
	Brand	UIBIU	IBI			
	Category	UICIU	ICI			
	Co_view	UIVIU	IVI			

combined with an attention component to obtain the overall 332 latent factors for users and items. Moreover, we also employ 333 self-attention mechanism to fuse aspect-level latent factors 334 more effectively. Next we will elaborate the three steps in 335 the following subsections. 336

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4.2 Aspect-Level Similarity Matrix Extraction

We employ HIN to organize objects and relations in recommender systems, due to its power of information fusion and semantics representation [36]. Furthermore, we utilize metapath to extract different-aspect features of users and items. Taking Fig. 2b as an example, we can use *UIU* and *IUI* paths to extract features of users and items on the aspect of purtaking history, which is extensively exploited by existing latent factor models. In addition, we can also extract features from other aspects. For example, the features of the brand aspect can be extracted from *UIBIU* and *IBI* paths . Table 1 shows more aspect examples in our experimental datasets.

Given a specific meta-path, there are several alternatives 349 to extract the aspect-level features: commuting matrix or 350 similarity matrix. In this paper, we employ the similarity 351 matrix based on the following reasons. (1) Similarity measure can alleviate noisy information; (2) Similar values 353 within the [0,1] range are more suitable for learning latent 354 factors; (3) Many path based similarity measures are avail-355 able. We employ the popular PathSim [9] to calculate 356 aspect-level similarity matrices under different meta-paths 357 in experiments. For example, we compute the similarity 358 matrices of user-user and item-item based on the meta-path 359 *UIBIU* and *IBI* for the brand-aspect features. 360

The computation of similarity matrix based on meta path 361 is of great importance in our propose model, so how to com- 362 pute similarity matrix quickly is an important problem in 363 our method. In real-word application, the complexity of sim- 364 ilarity matrix computation is not high because the similarity 365 matrix is usually very sparse for most meta paths. Based on 366 this fact, there are several acceleration computation methods 367 proposed by previous works [9], [40] for similarity matrix 368 computation, for example, PathSim-pruning [9], dynamic 369 programming strategy and Monte Carlo (MC) strategy [40]. 370 Moreover there also many new methods for similarity matrix 371 computation, for example, BLPMC [45], PRSim [46]. In addi- 372 tion, the similarity matrix can be computed offline in 373 advance in our model. The similarity matrix is computed 374 with training data, so we can prepare the similarity matrix 375 before the training processing. 376



Fig. 3. Deep neural network in the NeuACF model.

377 4.3 Learning Aspect-Level Latent Factors

378 With the computed user-user and item-item similarity 379 matrices of different aspects, we next learn their latent factors. Different from previous HIN based recommendation 380 381 models, we design a deep neural network to learn their cor-382 responding aspect-level latent factors separately, and the model architecture is shown in Fig. 3. Concretely, for each 383 user in each aspect, we extract the user's similarity vector 384 from the aspect-specific similarity matrix. Then we take the 385 similarity matrix as the input of the Multi-Layer Perceptron 386 (MLP) and MLP learns the aspect-level latent factor as the 387 output. The item latent factors of each aspect can be learned 388 in a similar way. Taking the similarity matrix $S^B \in \mathbb{R}^{N imes N}$ of 389 users under the meta-path *UIBIU* as an example, User U_i is 390 represented as an N-dimensional vector S_{i*}^B , which means 391 the similarities between U_i and all the other users. Here N 392 means the total number of users in the dataset. The MLP 393 projects the initial similarity vector S_{i*}^B of user U_i to a low-394 dimensional aspect-level latent factor. In each layer of MLP, 395 396 the input vector is mapped into another vector in a new 397 space. Formally, given the initial input vector S_{i*}^B and the *l*th hidden layer H_l , the final aspect-level latent factor u_i^B 398 can be learned through the following multi-layer mapping 399 functions, 400

$$H_{0} = S_{i*}^{B},$$

$$H_{1} = f(W_{1}^{T} * H_{0} + b_{1}),$$

$$\dots$$

$$H_{l} = f(W_{l}^{T} * H_{l-1} + b_{l}),$$

$$\dots$$

$$u_{i}^{B} = f(W_{n}^{T} * H_{n-1} + b_{n}),$$
(2)

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where W_i and b_i are the weight matrix and bias for the *i*th layer, respectively, and we use the *ReLU*, i.e., f(x) = max(0, x) as the activation function in the hidden layers.

From the learning framework in Fig. 3, one can see that 406 for each aspect-level similarity matrix of both users and 407 408 items there is a corresponding MLP learning component described above to learn the aspect-level latent factors. As 409 illustrated in Table 1, for each aspect-level meta-path we 410 can get a corresponding user-user similarity matrix and an 411 item-item similarity matrix. Taking the datasets Amazon as 412 example, we can learn the brand latent factors of users as 413 u_i^B and the brand latent factors of items as v_i^B from the 414

meta-path *UIBIU-IBI*. Similarly, we can get u_i^I and v_j^I from 415 the meta-path *UIU-IUI*, u_i^C and v_j^C from the meta-path 416 *UICIU-ICI*, as well as u_i^V and v_j^V from the meta-path 417 *UIVIU-IVI*. Since there are variety meta-paths connecting 418 users and items, we can learning different aspect-level 419 latent factors. 420

4.4 Attention Based Aspect-Level Latent Factors Fusion

After the aspect-level latent factors are learned separately 423 for users and items, next we need to integrate them together 424 to obtain aggregated latent factors. A straightforward way 425 is to concatenate all the aspect-level latent factors to form a 426 higher-dimensional vector. Another intuitive way is to aver- 427 age all the latent factors. The issue is that both methods do 428 not distinguish their different importance because not all 429 the aspects contribute to the recommendation equally (we 430 will show that in the experiment part). Therefore, we choose 431 the attention mechanism to fuse these aspect-level latent 432 factors. Attention mechanism has shown the effectiveness 433 in various machine learning tasks such as image captioning 434 and machine translation [47], [48], [49]. The advantage of 435 attention mechanism is that it can learn to assign attentive 436 values (normalized by sum to 1) for all the aspect-level 437 latent factors: higher (lower) values indicate that the corre- 438 sponding features are more informative (less informative) 439 for recommendation. Specifically, given the user's brand- 440 aspect latent factor u_i^B , we use a two-layers network to com- 441 pute the attention score s_i^B by the following 442

$$s_i^B = W_2^T f(W_1^T * u_i^B + b_1) + b_2,$$
(3)
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where W_* is the weight matrices and b_* is the biases.

The final attention values for the aspect-level latent fac- 446 tors are obtained by normalizing the above attentive scores 447 with the Softmax function given in Equation (4), which can 448 be interpreted as the contributions of different aspects B to 449 the aggregated latent factor of user U_i , 450

$$\boldsymbol{w}_{i}^{B} = \frac{exp(\boldsymbol{s}_{i}^{B})}{\sum_{A=1}^{L} exp(\boldsymbol{s}_{i}^{A})},$$
(4)

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where *L* is the total number of all the aspects.

After obtaining all the attention weights w_i^B of all the 454 aspect-level latent factors for user U_i , the aggregated latent 455 factor u_i can be calculated by 456

$$\boldsymbol{u}_i = \sum_{B=1}^L \boldsymbol{w}_i^B \cdot \boldsymbol{u}_i^B. \tag{5}$$

We implement this attention method as NeuACF in our 460 experiments. 461

4.5 Self-Attention Based Aspect-Level Latent Factors Fusion

Recently, self-attention mechanism has received consider- 464 able research interests. For example, Vaswani et al. [50] and 465 Devlin et al. [51] utilize self-attention to learn the relation- 466 ship between two sequences. Learning dependencies and 467 relationships between aspect-level latent factors is the most 468 important part in our model, and self-attention has ability 469

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to model the relationships between the different aspect-470 level latent factors. 471

Different from standard attention mechanism, self-472 attention mainly focuses on the co-learning attentions of 473 two sequences. The vanilla attention mechanism mainly 474 considers computing the attention values based on the user 475 476 or item representations of one aspect, while self-attention mechanism is able to learn the attention values from differ-477 ent aspects simultaneously. For example, the Brand-level 478 479 latent factor of users have strong relationship to the Brandlevel latent factor of items, and the self-attention mechanism 480 can learn this relationship and promote the performance of 481 recommendation. So the learned values are able to capture 482 more information on the multi-aspects. In details, we first 483 compute the affinity scores between all aspect-level latent 484 485 factors. For a user U_i , the affinity score of two different aspect-level latent factors \boldsymbol{u}_i^B and \boldsymbol{u}_i^C can be calculated by 486 487 their inner product:

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$$M_i^{B,C} = \left(oldsymbol{u}_i^B
ight)^T st oldsymbol{u}_i^C.$$

The matrix $M_i = [M_i^{B,C}] \in \mathbb{R}^{L \times L}$ is also called the self-491 attention matrix, where L is the total number of aspects. In 492 fact, there is an affinity matrix M_i for each user. Basically, 493 the matrix M_i characterizes the similarity of aspect-level 494 latent factors for the specific user U_i , which reflects the cor-495 relation between two aspects when recommending for this 496 user. When the aspect *B* is equal to aspect *C*, $M_i^{B,C}$ will get 497 a high value due to the inner product operator, so we add a 498 zero mask to avoid a high matching score between identical 499 500 vectors.

The aspect-level latent factors learned from self-attention 501 502 mechanism are not independent. Users will make a trade-503 off between those aspects. The affinity matrix measures the importance of different aspect-level latent factors, so we 504 compute the representation of aspect *B* for the specific user 505 *i* based on the self-attention matrix as: 506

$$\boldsymbol{g}_{i}^{B} = \sum_{C=1}^{L} \frac{exp(\boldsymbol{M}_{i}^{B,C})}{\sum_{A=1}^{L} exp(\boldsymbol{M}_{i}^{B,A})} \boldsymbol{u}_{i}^{C}. \tag{7}$$

Then for all the aspects, we can obtain the final represen-510 tation of users or items as: 511

$$\boldsymbol{u}_i = \sum_{B=1}^L \boldsymbol{g}_i^B. \tag{8}$$

The self-attention mechanism can learn self-attentive rep-515 resentations from different aspect-level information effec-516 tively. In order to distinguish with the above attention 517 518 method NeuACF, we implement the self-attention mechanism as NeuACF++ in our experiments. 519

4.6 Objective Function 520

We model the top-N recommendation as a classification 521 problem which predicts the probability of interaction 522 between users and items in the future. In order to ensure 523 that the output value is a probability, we need to constrain 524 the output \hat{y}_{ij} in the range of [0,1], where we use a Logistic 525 function as the activation function for the output layer. The 526

probability of the interaction between the user U_i and item 527 I_i is calculated according to 528

$$\hat{y}_{ij} = sigmod(\boldsymbol{u}_i * \boldsymbol{v}_j) = \frac{1}{1 + e^{-\boldsymbol{u}_i * \boldsymbol{v}_j}},$$
(9)

where u_i and v_j are the aggregated latent factors of user U_i 531 and item I_i respectively. 532

Over all the training set, according to the above settings, 533 the likelihood function is: 534

$$p(\mathcal{Y}, \mathcal{Y}^{-} | \Theta) = \prod_{i, j \in \mathcal{Y}} \hat{y}_{ij} \prod_{i, k \in \mathcal{Y}^{-}} (1 - \hat{y}_{ik}), \tag{10}$$

where \mathcal{Y} and \mathcal{Y}^- are the positive and negative instances sets, 537 respectively. The negative instance set \mathcal{Y}^- is sampled from 538 unobserved data for training. Θ is the parameters set. 539

Since the ground truth y_{ij} is in the set $\{0, 1\}$, Equation (10) 540 can be rewritten as: 541

$$p(\mathcal{Y}, \mathcal{Y}^{-} | \Theta) = \prod_{i,j \in \mathcal{Y} \cup \mathcal{Y}^{-}} (\hat{y}_{ij})^{y_{ij}} * (1 - \hat{y}_{ij})^{(1 - y_{ij})}.$$
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Then we take the negative logarithm of the likelihood 545 function to get the point-wise loss function in 546

$$Loss = -\sum_{i,j\in\mathcal{Y}\cup\mathcal{Y}^-} \left(y_{ij}log\hat{y}_{ij} + (1-y_{ij})log(1-\hat{y}_{ij}) \right), \tag{12}$$

where y_{ij} is the ground truth of the instance and \hat{y}_{ij} is pre- 549 dicted score. This is the overall objective function of our 550 model, and we can optimize it by stochastic gradient 551 descent or its variants [52].

4.7 Discussion

(6)

Here, we give the analysis of our proposed models NeuACF 554 and NeuACF++.

- NeuACF and NeuACF++ are general frameworks 556 for recommendation. We can learn aspect-level 557 latent factors from aspect-level features computed 558 via different methods. For example, the similarity 559 matrix S^B can also be computed with HeteSim [40] 560 or PCRW [39].
- As a deep neural network model, DMF [53] can be 562 considered as one special case of our model. DMF 563 does not take the heterogeneous information into 564 consideration, so if we only consider the user-item 565 purchase history aspect, our model is equivalent to 566 the DMF model. We argue that the aspect informa- 567 tion learned from meta-paths has potential to 568 increase the performance of recommendation. 569
- We present the time complexity analysis of our pro- 570 posed models NeuACF and NeuACF++ here. Gener- 571 ally, the time complexity is affected by the epochs of 572 iterator T, the size of training sample S, the number 573 of aspects L and the size of hidden numbers H. 574When we utilize three-layer MLP to learn user and 575 item latent factors in our models, the time complex- 576 ity of forward and backward process is bounded by 577 matrix multiplication. Let h_{n_1} be the number of input 578 neurons and h_{n_2} be the number of output neurons, 579 the time complexity of forward process can be 580

TABLE 2 The Statistics of the Datasets

Dataset	#users	#items	#ratings	#densit
ML100K	943	1682	100,000	6.304%
ML1M	6040	3706	1,000,209	4.468%
Amazon	3532	3105	57,104	0.521%

calculated as $O(h_{n_1} * H + H * h_{n_2})$. The attention 581 layer is a two-layer neural network with the number 582 of input size equal to h_{n_2} and the number of output 583 size is 1. The time consumption is negligible com-584 paring to the embedding layers. Therefore, the 585 overall time complexity for training process is 586 587 $O(STL(h_{n_1} * H + H * h_{n_2}))$. For the prediction process, supposing the number of negative sampling for 588 one user is N_s , the time complexity of prediction is 589 $O(N_s L(h_{n_1} * H + H * h_{n_2})).$ 590

591 **5 EXPERIMENTS**

592 5.1 Experimental Settings

593 5.1.1 Datasets

We evaluate the proposed model over the publicly available MovieLens dataset [54] and Amazon dataset [55], [56]. We use the origin Movielens dataset for our experiment. For Amazon dataset, we remove the users who buy less than 10 items. The network schema is shown in Fig. 2, and the statistics of the datasets are summarized in Table 2.

- MovieLens-100K(ML100k)/MovieLens-1M(ML1M)¹: MovieLens datasets have been widely used for movie recommendation. We use the versions ML100K and ML1M. For each movie, we crawl the directors, actors of the movie from IMDb.
- Amazon²: This dataset contains users' rating data in Amazon. In our experiment, we select the items of Electronics categories for evaluation.

608 5.1.2 Evaluation Metric

We adopt the leave-one-out method [6], [7] for evaluation. 609 The latest rated item of each user is held out for testing, and 610 the remaining data for training. Following previous 611 works [6], [7], we randomly select 99 items that are not rated 612 by the users as negative samples and rank the 100 sampled 613 items for the users. For a fair comparison with the baseline 614 methods, we use the same negative sample set for each (user, 615 *item*) pair in the test set for all the methods. We evaluate the 616 model performance through the Hit Ratio (HR) and the Nor-617 malized Discounted Cumulative Gain (NDCG) defined in 618

$$HR = \frac{\#hits}{\#users}, NDCG = \frac{1}{\#users} \sum_{i=1}^{\#users} \frac{1}{\log_2(p_i + 1)},$$
(13)

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where #hits is the number of users whose test item appears in the recommended list and p_i is the position of the test item in the list for the *i*th hit. In our experiments, we truncate the ranked list at $K \in [5, 10, 15, 20]$ for both 624 metrics. 625

5.1.3 Baselines

Besides two basic methods (i.e., ItemPop and Item- 627 KNNN [57]), the baselines include two MF methods (MF [2] 628 and eALS [13]), one pairwise ranking method (BPR [18]), and 629 two neural network based methods (DMF [7] and NeuMF [6]). 630 In addition, we use $\rm SVD_{hin}$ to leverage the heterogeneous 631 information for recomendation, and we also adopt two recent 632 HIN based methods (FMG 633

[11] and HeteRs [58]) as baselines.

- ItemPop. Items are simply ranked by their popular- 635 ity judged by the number of interactions. This is a 636 widely-used non-personalized method to bench- 637 mark the recommendation performance. 638
- ItemKNN [57]. It is a standard item-based collabora- 639 tive filtering method. 640
- MF [2]. Matrix factorization is a representative latent 641 factor model. 642
- eALS [13]. It is a state-of-the-art MF method for rec- 643 ommendation with the square loss. 644
- BPR [18]. The Bayesian Personalized Ranking 645 approach optimizes the MF model with a pairwise 646 ranking loss, which is tailored to learn from implicit 647 feedback. 648
- DMF [7]. DMF uses the interaction matrix as the 649 input and maps users and items into a common low- 650 dimensional space using a deep neural network. 651
- NeuMF [6]. It combines the linearity of MF and non- 652 linearity of DNNs for modelling user-item latent 653 structures. In our experiments, we use the NeuMF 654 with pre-trained, We used hyper-parameters fol- 655 lowed the instructions in the paper. 656
- SVD_{hin}. SVDFeature [59] is designed to efficiently 657 solve the feature-based matrix factorization. SVD_{hin} 658 uses SVDFeature to leverage the heterogeneous 659 information for recommendation. Specifically, we 660 extract the heterogeneous information (e.g., attrib- 661 utes of movies/items and profiles of users) as the 662 input of SVDFeature. 663
- HeteRS [58]. HeteRS is a graph-based model which 664 can solve general recommendation problem on het- 665 erogeneous networks. It models the rich information 666 with a heterogeneous graph and considers the rec- 667 ommendation problem as a query-dependent node 668 proximity problem. 669
- FMG [11]. It proposes "MF+FM" framework for the 670 HIN-based rating prediction. We modify its optimi- 671 zation object as point-wise ranking loss for the top-N 672 recommendation. 673

5.1.4 Implementation

We implement the proposed NeuACF and NeuACF++ 675 based on Tensorflow [60]. We use the same hyper-parame- 676 ters for all the datasets. For the neural network, we use a 677 three-layer MLP with each hidden layer having 600 hidden 678 units. The dimension of latent factors is 64. We randomly 679 initialize the model parameters with a xavier initializer [61], 680

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^{1.} https://grouplens.org/datasets/movielens/

^{2.} http://jmcauley.ucsd.edu/data/amazon/

TABLE 3 HR@K and NDCG@K Comparisons of Different Methods

Datasets	Metrics	ItemPop	ItemKNN	MF	eALS	BPR	DMF	NeuMF	$\mathrm{SVD}_{\mathrm{hin}}$	HeteRS	FMG	NeuACF	NeuACF++
ML100K	HR@5	0.2831	0.4072	0.4634	0.4698	0.4984	0.3483	0.4942	0.4655	0.3747	0.4602	0.5097	0.5111
	NDCG@5	0.1892	0.2667	0.3021	0.3201	0.3315	0.2287	0.3357	0.3012	0.2831	0.3014	0.3505	0.3519
	HR@10	0.3998	0.5891	0.6437	0.6638	0.6914	0.4994	0.6766	0.6554	0.5337	0.6373	0.6846	0.6915
	NDCG@10	0.2264	0.3283	0.3605	0.3819	0.3933	0.2769	0.3945	0.3988	0.3338	0.3588	0.4068	0.4092
	HR@15	0.5366	0.7094	0.7338	0.7529	0.7741	0.5873	0.7635	0.7432	0.6524	0.7338	0.7813	0.7832
	NDCG@15	0.2624	0.3576	0.3843	0.4056	0.4149	0.3002	0.4175	0.4043	0.3652	0.3844	0.4318	0.4324
	HR@20	0.6225	0.7656	0.8144	0.8155	0.8388	0.6519	0.8324	0.8043	0.7224	0.8006	0.8464	0.8441
	NDCG@20	0.2826	0.3708	0.4034	0.4204	0.4302	0.3151	0.4338	0.3944	0.3818	0.4002	0.4469	0.4469
	HR@5	0.3088	0.4437	0.5111	0.5353	0.5414	0.4892	0.5485	0.4765	0.3997	0.4732	0.5630	0.5584
	NDCG@5	0.2033	0.3012	0.3463	0.3670	0.3756	0.3314	0.3865	0.3098	0.2895	0.3183	0.3944	0.3923
ML1M	HR@10	0.4553	0.6171	0.6896	0.7055	0.7161	0.6652	0.7177	0.6456	0.5758	0.6528	0.7202	0.7222
	NDCG@10	0.2505	0.3572	0.4040	0.4220	0.4321	0.3877	0.4415	0.3665	0.3461	0.3767	0.4453	0.4454
	HR@15	0.5568	0.7118	0.7783	0.7914	0.7988	0.7649	0.7982	0.7689	0.6846	0.7536	0.8018	0.8030
	NDCG@15	0.2773	0.3822	0.4275	0.4448	0.4541	0.4143	0.4628	0.4003	0.3749	0.4034	0.4667	0.4658
	HR@20	0.6409	0.7773	0.8425	0.8409	0.8545	0.8305	0.8586	0.8234	0.7682	0.8169	0.8540	0.8601
	NDCG@20	0.2971	0.3977	0.4427	0.4565	0.4673	0.4296	0.4771	0.4456	0.3947	0.4184	0.4789	0.4790
Amazon	HR@5	0 2412	0 1897	0.3027	0.3063	0.3296	0 2693	0.3117	0.3055	0 2766	0.3216	0.3268	0.3429
	NDCG@5	0.1642	0.1279	0.2068	0.2049	0.2254	0.1848	0.2141	0.1922	0.1800	0.2168	0.2232	0.2308
	HR@10	0.3576	0.3126	0.4278	0.4287	0.4657	0.3715	0.4309	0.4123	0.4207	0.4539	0.4686	0.4933
	NDCG@10	0.2016	0.1672	0.2471	0.2441	0.2693	0.2179	0.2524	0.2346	0.2267	0.2595	0.2683	0.2792
	HR@15	0.4408	0.3901	0.5054	0.5065	0.5467	0.4328	0.5258	0.5056	0.5136	0.5430	0.5591	0.5948
	NDCG@15	0.2236	0.1877	0.2676	0.2647	0.2908	0.2332	0.2774	0.2768	0.2513	0.2831	0.2924	0.3060
	HR@20	0.4997	0.4431	0.5680	0.5702	0.6141	0.4850	0.5897	0.5607	0.5852	0.6076	0.6257	0.6702
	NDCG@20	0.2375	0.2002	0.2824	0.2797	0.3067	0.2458	0.2925	0.2876	0.2683	0.2983	0.3080	0.3236

and use the Adam [52] as the optimizer. We set the batch 681 size to 1024 and set the learning rate to 0.0005. When train-682 ing our model, 10 negative instances are sampled for each 683 684 positive instance. Table 1 illustrates the extracted aspects and corresponding meta-paths. Some meta-paths are also 685 686 used for FMG. The optimal parameters for baselines are set 687 according to literatures. All the experiments are conducted on a machine with two GPUs (NVIDIA GTX-1080 *2) and 688 two CPUs (Intel Xeon E5-2690 * 2). 689

690 5.2 Experiment Results

691 5.2.1 Performance Analysis

Table 3 shows the experiment results of different methods. Our proposed methods are marked as NeuACF which implements the attention method in Section 4.4 and Neu-ACF++ which implements the self-attention mechanism in Section 4.5, respectively. One can draw the following conclusions.

First, one can observe that, NeuACF and NeuACF++ 698 achieve all the best performance over all the datasets and 699 700 criteria. The improvement of the two models comparing to these baselines is significant. This indicates that the aspect 701 level information is useful for recommendations. Besides, 702 NeuACF++ outperforms the NeuACF method in most cir-703 cumstances. Particularly, the performance of NeuACF++ is 704 significantly improved in Amazon dataset about (+2% at 705 HR and +1% at NDCG). This demonstrates the effectiveness 706 of the self-attention mechanism. Since the affinity matrix 707 evaluates the similarity score of different aspects, we can 708 extract the valuable information from the aspect latent 709 factors. 710

Second, NeuMF, as one neural network based method, 711 also performs well on most conditions, while both NeuACF 712 and NeuACF++ outperform NeuMF in almost all the cases. 713 The reason is probably that multiple aspects of latent factors 714 learned by NeuACF and NeuACF++ provide more features 715 of users and items. Although FMG also utilizes the same 716 features with NeuACF and NeuACF++, the better perfor- 717 mance of NeuACF and NeuACF++ implies that the deep 718 neural network and the attention mechanisms in NeuACF 719 and NeuACF++ may have the better ability to learn latent 720 factors of users and items than the "shadow" model in 721 FMG. 722

We can also observe that MF based methods outperform 723 the ItemPop and ItemKNN methods. This indicates that the 724 latent factors models can depict the user and item character-725 istics. Moreover, the performance of NeuMF is better than 726 MF, which indicates that the non-linear projection can cap-727 ture more information. The performance of BPR is comparable to NeuMF though it does not utilize the non-linear 729 projection. The reason may be that the objective function is 730 prone to tackle those ranking problems. 731

5.2.2 Impact of Different Aspect-Level Latent Factors 732

To analyze the impact of different aspect-level latent factors 733 on the algorithm performance, we run NeuACF and Neu-734 ACF++ with individual aspect-level latent factor through 735 setting meta-paths. In Fig. 4, for example, *UIBIU-IBI* means 736 that we only learn the brand-aspect latent factor for users 737 and items. In addition, we also run NeuACF with the 738 "Average", "Attention" and "Self-Attention" fusion mecha-739 nisms, where "Average" means averaging all the aspect-740 0 35

0.30





(d) Amazon: fusing aspects

Fig. 4. The impact of different aspect-level latent factors. (a) The performance of single aspect on *MovieLens*. "Attention" means the NeuACF method, and "Self-Attention" means the NeuACF++ method. (b) The performance of single aspect on Amazon dataset. (c) The performance of combination of different meta-paths on ML100k dataset. ML-M2 adds *UMDMU-MDM*, and ML-M3 adds *UMAMU-MAM* to ML-M2. (d) The performance of combination of different meta-paths on Amazon dataset. AM-M1 adds *UIVIU-IVI*. AM-M2 and AM-M3 add *UIBIU-IBI*, *UICIU-ICI*, respectively.

level latent factors, "Attention" means fusing latent factors 741 742 with the proposed attention mechanism in Section 4.4, and 743 "Self-Attention" means fusing latent factors with the self-744 attention mechanism mentioned in Section 4.5. From the results shown in Figs. 4a and 4b, one can observe that the 745 purchase-history aspect factors (e.g., UMU-MUM and 746 UIU-IUI) usually get the best performance in all the indi-747 vidual aspects which indicates that the purchase history of 748 users and items usually contains the most important infor-749 mation. One can also see that "Average", "Attention" and 750 "Self-Attention" always perform better than individual 751 meta-path, demonstrating fusing all the aspect-level latent 752 factors can improve the performance. In addition, the better 753 performance of "Attention" than "Average" also shows the 754 755 benefit of the attention mechanism in NeuACF. One can also observe that the "Self-Attention" mechanism always 756 perform better than other methods, which indicates that the 757 self-attention mechanism can fuse different aspect informa-758 tion more efficiently. 759

760 Further, in order to validate that the additional information from different meta-paths has potential to increase the 761 recommendation performance. We conduct experiments 762 with the increase of meta-paths to fuse more information 763 764 into our proposed models. The results are shown in Figs. 4c and 4d. It demonstrates that the combination of different 765 meta-paths can increase the performance of recommenda-766 tion. In particular, ML-M2 means the result of fusing 767 aspect-level latent factors extracted from the meta-paths of 768 UMU-MUM and UMAMU-MAM. The performance of ML-769 M2 outperforms the single meta-path UMU-MUM, which 770



is the best result among all the single aspects. ML-M3 771 means the result of fusing the meta-paths of *UMU-MUM*, 772 *UMAMU-MAM* and *UMDMU-MDM*. Similarly, the result 773 is better than ML-M2. Moreover, the performance does not 774 improve linearly. Taking the Amazon dataset in Fig. 4d as 775 an example, the meta-path *UIVIU-IVI* in AM-M1, compar-776 ing to the single meta-path *UIVIU-IVI* in AM-M1, compar-777 improvement. However, the meta-path *UIBIU-IBI* in AM-778 M2 helps little on the performance. This demonstrates that 779 different aspect-level meta-paths contain unequal informa-780 tion, so it is essential to automatically fuse aspect-level 781 latent factors with attention mechanisms.

5.2.3 Analysis on Attention

In order to investigate that whether the attention values 784 learned from our proposed models NeuACF and NeuACF++ 785 are meaningful, we explore the correlation between the attention values and the recommendation performance of the corresponding meta-path. Generally, we aim to check whether 788 the recommendation performance with one meta-path will is 789 better when the attention value of this meta-path is larger. 790

To this end, we conduct experiments to analyze the distribution with attention values and the recommendation performance of single meta-path. Specifically, we can obtain the rand NeuACF++, and then we are able to average all the attention values for all the users to obtain the final attention value of the aspect. Also, we can get the recommendation results ronly based on this aspect. So for one aspect, we are able to check the correlation between its recommendation performance and its attention value. Bascially, the better results usually imply that this aspect is more important to the recommendation task, and therefore, this aspect should have larger attention value. We perform experiments with NeuACF and NeuACF++ models respectively. For example, in ML100k 804 dataset, we can obtain three attention values from three 805



Fig. 6. The distribution of attention weights of NeuACF and NeuACF++ on the datasets.



(b) t-SNE embedding with Category labels

Fig. 7. t-SNE embedding with different labels of the learned latent factors of items for Amazon.

different aspect latent factors *UMU-MUM*, *UMAMU-MAM*,
and *UMDMU-MDM* by NeuACF++. We present the result of
"Attention Value" and the corresponding single meta-path
recommendation results "HR@10" in Fig. 5.

One can observe that the attention values of different 810 aspects vary significantly. If the recommendation perfor-811 mance of one meta-path is higher, the corresponding atten-812 813 tion value trends to be larger. Intuitively, this indicates that the aspect information plays a vital role in recomm-814 815 endation, and "Average" is insufficient to fuse different aspect-level latent factors. Another interesting observation 816 is that though the distributions of attention values in differ-817 ent datasets are extremely different, the purchase history 818 (e.g., UMU-MUM and UIU-IUI) always takes a large pro-819 portion. This is consistent with the results in Section 5.2.2, 820 suggesting that purchase history usually contains the most 821 valuable information. 822

We also present the distribution of attention weights of 823 NeuACF and NeuACF++ on the Movielens dataset in Fig. 6. 824 Fig. 6 indicates that the attention values of different aspects 825 are very different and we can find that attention values of 826 NeuACF++ which adopts self-attention are more stable than 827 NeuACF. The reason of this observation is that the self-atten-828 tion mechanism is more powerful than vanilla attention net-829 830 work to capture the aspect information and assign more 831 reasonable attention weights to different aspects.

5.2.4 Visualization of Different Aspect-Level Latent Factors

In our model, we aim to learn the aspect-level latent factors from different meta-paths. For example, we expect that the



Fig. 8. Performance with different dimensions of latent factors.

brand-aspect latent factor v_j^B for item I_j can be learned from 836 the meta-path *IBI*, and the category-aspect latent factor v_j^C 837 from the meta-path *ICI*. To intuitively show whether Neu-838 ACF performs well on this task, we visualize the learned 839 aspect-level latent factors on the Amazon dataset. We apply 840 t-SNE [62] to embed the high-dimensional aspect-level 841 latent factors into a 2-dimensional space, and then visualize 842 each item as a point in a two-dimensional space. 843

Fig. 7a shows the embedding results for four famous 844 electronics Brand: *Logitech, Canon, Sony*, and *Nikon*. One can 845 observe that the brand-aspect latent factors can clearly sepa-846 rate the four brands, while the history-aspect and category-847 aspect latent factors are mixed with each other. It demon-848 strates the meta-path *IBI* can learn a good brand-aspect 849 latent factors. Similarly, in Fig. 7b, only the category-aspect 850 latent factors learned from the meta-path *ICI* clearly sepa-851 rate the items of different categories including *Television*, 852 *Headphones, Laptop* and *Cameras*. The results demonstrate 853 that the aspect-level latent factors of items learned by Neu-854 ACF can indeed capture the aspect characteristics of items.

856

5.2.5 Parameter Study

Effect of the Latent Factor Dimensions. In the latent factor mod- 857 els, the dimension of the latent factors may have a vital 858 impact on the performance of recommendation. Thus we 859 study the effect of the latent factor dimension learned from 860 the last MLP layer in our proposed model NeuACF and 861 NeuACF++. We conduct the experiment on a three-layer 862 model, and set the dimensions of the latent factors increas- 863 ing from 8 to 256. The results on the ML100k and Amazon 864 datasets are shown in Fig. 8. Figs. 8a and 8b illustrate the 865 performance curve with different numbers of dimensions of 866 NeuACF. One can see that on both datasets the performance 867 first increases with the increase of the dimension, and the 868 best performance is achieved at round 16-32. Then the 869 performance drops if the dimension further increases. Simi- 870 larly, Figs. 8c and 8d show the results of NeuACF++. We 871 can observe that the best performance of NeuACF++ is 872 achieved at round 64 of ML100K and 128 of Amazon. 873 Generally speaking, a small dimension of latent factors is 874 insufficient to capture the complex relationship of users 875 and items. 876



Fig. 9. Performance with different numbers of hidden layers.

Effect of Network Hidden Layers. As the number of hidden 877 layers can usually affect the performance of deep models, 878 we investigate the effect of the number of network hidden 879 layers on our model NeuACF++. We set the number of hid-880 881 den layers of NeuACF++ from 3 to 7, and the number of hidden neurons of each layer is set up to 64. The results are 882 illustrated in Fig. 9. As can be seen from Fig. 9a, the perfor-883 mance of ML100k dataset first increases with the increase of 884 hidden layers. The best performance is achieved when hid-885 den layers is 5, and then the performance decreases. The 886 performance of NeuACF++ decreases slightly when hidden 887 888 layers increase in Amazon dataset. The best performance is achieved when hidden layers is 3. The reason may be that a 889 three-layer neural network model is capable to characterize 890 the aspect latent factors in Amazon dataset. When the num-891 ber of hidden layers increase, the model may be over-fitting. 892 From both cases, we can find that the best depth of our 893 model is about 3 layers. Moreover, the slightly degradation 894 may also demonstrate that it is hard for the deep model to 895 896 learn the identity mapping [63].

Effect of Negative Sampling Ratio. As mentioned above, 897 negative sampling is an effective way to train the neural net-898 work model instead of using the whole user-item interac-899 tions. To illustrate the impact of different negative sampling 900 ratios for NeuACF++ model, we conduct experiments with 901 different negative sampling ratios. The results are shown in 902 Fig. 10. The experiments are preformed with the number of 903 negative sampling from 2 to 20 and the increase step is 2. 904 First, Fig. 10 shows that the number of negative sampling 905 has a significant impact on the model performance. In the 906 ML100k dataset, it demonstrates that less (≤ 4) negative 907 samples per positive instance is insufficient to achieve opti-908 mal performance. It also reveals that setting the sampling 909 ratio too huge (≥ 10) may hurt the performance. In Amazon 910 dataset, generally, the performance increases when the 911 912 number of negative sampling increases. This is probably because of the data sparsity. Table 2 shows that the sparsity 913 914 of Amazon dataset is about 10 times than ML100k datasets. That means that when the number of negative sampling is 6 915 916 in ML100k, there are about 30 percent user-item interactions are utilized for the training process. However, even the 917 number of negative sampling is 20 in Amazon dataset, there 918 are only 10 percent user-item interactions. 919

920 6 CONCLUSION

In this paper, we explore aspect-level information for collaborative filtering. We first propose a novel neural network
based aspect-level collaborative filtering model (NeuACF)



Fig. 10. Performance with different number of negative samples.

based on different-aspect features extracted from heterogeneous network with meta-paths. NeuACF is able to learn the aspect-level latent factors and then fuses them with the attention mechanism. Furthermore, in order to better fuse aspectlevel information effectively, we propose NeuACF++ which employs the self-attention mechanism to learn the importance of different aspects. Extensive evaluations demonstrate the superior performance of NeuACF and NeuACF++. 931

In this paper, we mainly focus on fusing the latent factors 932 learned in the last layer of the neural network. In the future, 933 we aim to explore new attention mechanism which is able to 934 consider all the latent factor information in all the network 935 layers, so that we can capture more complete information. 936 Moreover, since retraining the model is time-consuming 937 and expensive for new meta-paths, another future work is 938 to design a effective mechanisms to share the neural net-939 work which has been learned by before the aspect-level 940 latent factors. 941

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